



सागरमाला फाइनेंस कॉर्पोरेशन लिमिटेड
(पूर्व में सागरमाला डेवलपमेंट कंपनी लिमिटेड)

(भारत सरकार का उपक्रम)

Sagarmala Finance Corporation Limited
(formerly known as Sagarmala Development Company Limited)
(A Government of India Enterprise)
Mini-Ratna Category- I CPSE
CIN: U64920DL2016GOI305194 GSTIN: 07AAYCS0357B1Z8

SMFCL Rectt. Advt. No. 03/2025 dated 24.06.2025

COMPANY PROFILE

Sagarmala Finance Corporation Limited (SMFCL) [formerly known as Sagarmala Development Company Limited (SDCL)] is a schedule B (Mini Ratna Category-I) CPSE under the Ministry of Ports, Shipping and Waterways, Government of India.

SMFCL, is a wholly owned by Govt. of India, was incorporated with an initial authorized capital of Rs. 1,000 Crores and has a paid-up capital of Rs. 1000 Crores. Sagarmala Finance Corporation Limited (SMFCL) is registered as Non-Banking Financial Company (NBFC) with Reserve Bank of India (RBI).

In order to oversee Statutory & Regulatory compliance within the organization, SMFCL intends to engage professional / expert with rich professional experience as **Chief Compliance Officer** on contract basis.

Presently SMFCL's registered office is situated in New Delhi.

SMFCL is inviting the applications for the post of Chief Compliance Officer (CCO) on contract basis. Brief details are as under:

- Name of the Post - Chief Compliance Officer (CCO)**
- No. of vacancy - 01**
- Essential minimum educational qualification:** CA / ICWAI / LLB / CS/ MBA in Finance.
- Period of Engagement** -The engagement will be on a contractual basis for a period of 2 years which is further extendable based on performance and requirement.
- Maximum age as on cutoff date:** - 63 Years
- Min. Required Post Qualification Experience** - Minimum 20 years of post-qualification experience, out of which minimum 12 years should be in Finance /Audit / CS/ Compliance / Legal / Risk Management functions of NBFC/Banks/Financial Institutions.

Further the candidate should be retired official from Central Govt./ State Govt./ CPSUs/state PSU/ Autonomous Body or any other equivalent organization from the rank not below E8 level or equivalent.
- Monthly Remuneration** - Ranging from Rs. 1,50,000 - Rs. 2,00,000/- (Consolidated) based on experience.

Scope of Work:

The Chief Compliance Officer (CCO) is broadly required to perform the following functions:

- Implement Board-approved compliance policy, build a strong compliance system, and a strong compliance risk management programme in accordance with changing laws and regulations and directives from government agencies like the RBI, SEBI and other regulators as necessary.

- Build a strong compliance-oriented culture in the organization by way of developing organizational processes and capabilities with the right governance, policy framework and technology enablement.
- Shall be primarily responsible for overseeing statutory & regulatory compliance within an organization, and ensuring compliance with laws, regulatory requirements, policies, and procedures.
- Shall be responsible for establishing Compliance standards and implementing procedures to ensure that the compliance programs throughout the organization are effective and efficient in identifying, preventing, detecting, and correcting non-compliance with applicable laws and regulations.
- Shall be developing and maintaining effective risk management tools to identify, prioritize, mitigate, manage and report Compliance Risks.
- Coordinate the regulatory/supervisory inspections of RBI and other regulators and ensuring compliance with supervisory observations made by them in a time bound and sustainable manner.
- Regular efficient and effective reporting to Management / Board.
- Shall ensure compliance of Supervisory observations made by Regulator as and when necessary.
- Ensuring suitable training and awareness programmes related to compliance functions within organization.
- Shall assist the Board and the Management in overseeing the implementation of Compliance Policy & procedures, internal codes of conduct, etc.
- Engage in continuous assessment of the adequacy of compliance policies, procedures and guidelines and formulating proposals for any amendments.
- Ensure up-to-date documentation of manuals and process notes governing business activities to comply with applicable laws, rules, and regulations.
- Good understanding of industry and risk management, knowledge of regulations, legal framework, and sensitivity to supervisors' expectations
- Ability to independently exercise judgement.
- Hands on experience in operationalizing/interpreting RBI regulations pertaining to credit will be preferred.
- Knowledge of SEBI/RBI regulations relating to bond markets would be preferred.
- The management may assign any other responsibility as per the requirement of SMFCL.

General Information: Before applying, the candidate must satisfy himself/ herself that he/ she is eligible to apply for the post and is meeting with the requirements and terms and conditions mentioned.

1. The appointment is purely on contract basis and thus will not entitle any candidate to claim for regular/ permanent employment in SMFCL.
2. Officer shall follow the leave calendar of SMFCL for purpose of Gazetted Holidays and in addition shall be entitled 1 casual leave in a month.
3. The contract of engagement can be terminated on either side by giving one-month notice.
4. Candidates are required to go through the full text of notification and read all the conditions carefully while applying for the post and should ensure that he/she fulfils the eligibility criteria and other norms mentioned above as on the cut-off date and that the particulars furnished are correct in all respect. If at any stage of selection, it is detected that a candidate has furnished any incorrect/ false information or has suppressed any material fact(s) to become eligible, his/ her candidature will stand automatically cancelled. If any of the above shortcomings (s) is detected even after his/ her engagement, his/her services are liable to be terminated without any notice.
5. The cut-off date for reckoning age, experience will be the last date of submission of application i.e. **04.08.2025**.
6. SMFCL reserves the right to cancel/ restrict/ modify/ alter the process, if need so arises, without issuing any further notice or assigning any reason whatsoever.
7. Candidates must produce their relieving order and last pay slip from the last served organization in the event of selection.

8. Candidate should possess valid email ID and contact number for any necessary communication and should also be equipped with laptop and mobile with internet connection.
9. Candidature/ applications are liable for rejection if evidence of age, qualification, experience and pay (pay scale/ CTC) are not furnished along with application.
10. Incomplete application or applications received beyond the cut-off date will be summarily rejected.
11. Courts of jurisdiction will be at New Delhi.
12. SMFCL reserves the right to relax/ raise the experience, qualification & other qualifying criteria.
13. No correspondence will be entertained from the candidates who are not shortlisted/ selected.
14. Interested candidates are requested to forward their applications/ CV along with proof of age, qualification, experience and details of last drawn pay including level/ grade and/ or CTC (duly self-attested) with recent passport sized colour photograph to **recruitment@sdclindia.com** on or before **04.08.2025** till 06:00 pm.